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## Introduction

- Esp@cenet is designed to be for **entry level searching**. It is not a replacement for professional services. It is a simple search engine for non-professional patent searchers and should not be used to support financial or business decisions.
- It contains more than 70 million patents, more applications than granted patents.
- The site can be read in English, German, or French. **Translations** (usually of the abstracts) are done **by machine**, so they do not meet the standards of a professional translator, but are good enough for an engineer or scientist to get a general notion about a patent.
- For patents filed in several countries, the **priority number** is the number assigned by the **country of first filing**. The inventor has 12 months to file in other countries from the time he/she first files in one country. (See Priority right <u>http://en.wikipedia.org/wiki/Priority\_right</u> for more information about claiming priority.)

From Wikipedia, the free encyclopedia	
trademark respectively. The priority right allows the claiman	ionity right or right of priority is a time-limited right, toggened by the first filing of an application for a patent, an industrial design or a to life a subsequent application in another country for the same invention, design, or trademark effective as of the date of filing the first plicant must claim the priority of the first application in order to make use of the right of priority. The right of priority belongs to the
The period of priority, i.e., the period during which the priorit priority is often referred to as the <b>priority year</b> for patents a	ty right exists, is usually 6 months for industrial designs and trademarks and 12 months for patents and utility models. The period of and utility models.
inventive step or non-obviousness for the subsequent applic	filing of the first application, called the priority date, is considered to be the effective date of filling for the examination of novely and atticn claiming the priority of the first application. In other works, the prior at which is taken into account the examining the novely and in the subsequent application works and not be everything made available to the public before the filing date (of the subsequent application) be at, is in the date of filing of the first application.
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1 Rationale	
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The "basic purpose [of the right of priority] is to safeguard, for a limited period, the interests of a patent applicant in his endeavour to obtain international protection for his invention, thereby alleviating the negative consequences of the principle of territoriality in patent law <sup>411</sup>

• It provides **patent family information** to be able to tell if similar patents are claimed in other countries. See "About Patent Families" in the left gray box. Access <u>http://www.epo.org/searching/essentials/patent-families.html</u> to see the three types of patent families.



## See also

<u>http://worldwide.espacenet.com/help?locale=en\_EP&method=handleHelpTopic&</u> <u>topic=patentfamily</u> for more details. Note that Inpadoc Patent families are documents that share at least one priority.

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Quick help –	Patent families				
→ <u>Are the help files available in</u> <u>other languages?</u> → <u>How can I go back to the index</u> list?	Generally speaking, a patent family is a group of patents which, like the members of a family, are all related to each other, in this case by way of the priority or priorities of a particular patent document.				
	In Espacenet a patent family is defined as comprising all documents having exactly the same priority or combination of priorities (simple family). However, deeper analysis of certain patent documents might lead to specific priorities being declared "non-active". In other words, these specific priorities are ignored in the building of simple families. These documents can be found under the <b>Also published as</b> heading in the <b>bibliographic data</b> view.				
	An INPADOC patent family is defined as comprising all the documents sharing directly or indirectly (e.g. via a third document) at least one priority. This includes all the patent documents resulting from a patent application submitted as a first filing with a patent office and from the same patent application filed within the priority year with a patent office in any other country. In Espacenet these can be found by selecting INPADOC patent family from the left-hand sidebar in the <b>bibliographic data</b> view.				
	Simple patent family members are automatically assigned the <u>CPC</u> symbol of the document classified first in CPC.				
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	If you have any questions, please contact Patent Data Services at patentdata@epo.org.				
	For more information on Espacenet, see the following pages:				
	Index     "Also published as" documents				

## SEARCHING

## **General Searching Tips**

• Since this is a European database, use both American and European spellings. Example: (tire or tyre). Be aware also of different terms (toilet in American is loo in British usage) or different meanings for the same words. See (<u>http://en.wikipedia.org/wiki/List\_of\_words\_having\_different\_meanings\_in\_Briti</u> <u>sh\_and\_American\_English:\_A%E2%80%93L</u>).

Word	British English meanings	Meanings common to British and American English	American English meanings
AA	The Automobile Association (US: AAA)	Alcoholics Anonymous	
A&E	the accident and emergency (casualty) department of a hospital (US: emergency room, ER) <sup>[1]</sup>		Arts & Entertainment (name of a television network) <sup>[1]</sup>
accumulator	rechargeable battery <sup>[2]</sup> (technical) a type of bet <sup>[3]</sup> (US: <i>parlay</i> )	one that accumulates, as a type of computer processor register or a hydraulic accumulator <sup>[2]</sup>	
ace	good, excellent (1980s slang)	a one in a suit of playing cards someone who is very good at something. A term in tennis for a point won for the server without the opponent returning his or her serve. fighter pilot who has shot down at least 5 enemy aircraft	(v.) to perform outstandingly *; esp., to achieve an A (on a school exam) the best starting pitcher in a rotation on a baseball team
advocate (n.)	Scottish also the Isle of Man, Channel Islands and South African lawyer who appears in higher courts (rest of UK: <i>barrister</i> )	someone who supports or speaks for a particular position generic term for a lawyer (v.) to recommend or support	
air marshal	a senior air force officer (equivalent to a USAF Lt. General)	an undercover law enforcement officer on board a commercial aircraft, also known as a sky marshal	
à la mode		fashionable	with ice cream (ex. Apple pie à la mode)
allotment	a parcel of land in a community garden	the amount of something allocated to a particular person	
alternate		(adj.) done or occurring by turns; every second, every other ("on alternate weeks") (n.) one that alternates with another	(adj.) constituting an alternative, offering a choice (UK usu. & US also alternative) ("use alternate routes") "alternative", unconventional ("alternate lifestyles") (n.) an alternative *; a substitute
amber	traffic light of this colour (US: yellow light)	orange-yellow colour fossilised resin; a material used in the construction of some tobacco pipes' stems; female given name; (sealed in amber) state of being oblivious to changing circumstances	
anæsthetist (UK), anesthetist (US)	physician trained to induce anesthesia (US: anesthesiologist)	someone who induces anesthesia.	a critical care experienced graduate level educated Registered Nurse who is nationally certified to induce anesthesia
anchor		<ol> <li>a position in a tug of war team</li> <li>device for mooring ships by providing a firm fix to the seabed (3)(anchorman/anchonwoman) the last member of a relay team to compete</li> </ol>	(1)a type of radio or TV presenter ("a news anchor"). See news presenter for a description of the different roles of a newscaster, an American news anchor, and a British newsreader.

- There is a **Help Index button** at the top. Once you open a patent you'll see **Quick Help** on the left side. The Quick Help automatically displays frequently asked questions appropriate to the selected page.
- **Mosaics** (speaking of vocabulary differences) are the **non-textual parts**, the drawings, charts, etc.
- Sorting Results If you have fewer than 500 patents, you can sort in ascending or descending order by "Upload Date", "Priority Date", "Inventor", "Applicant" or "ECLA"
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- Choose the **1st one Open Espacenet at the EPO** (Worldwide) instead of the EP or national and regional offices databases since those contain the **latest documents only** which do not include all the features of a patent.
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- Boolean functions work (and, or, not), but the "AND" is not necessary.
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Since the number is not identified by country, look for the one or ones identified as US.



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http://ep.espacenet.com/help?topic=countrycodes&method=handleHelpTopic&locale=en\_ep.)

## **Country codes**

Country codes consist of two letters (e.g. GB) indicating the country or organisation where the patent application was filed or granted.

СС	Name
AL	Albania
AP	African Regional Industrial Property Organization
AR	Argentina
AT	Austria
AU	Australia
BA	Bosnia and Herzegovina
BE	Belgium
BG	Bulgaria
BR	Brazil
CA	Canada
СН	Switzerland

• Once you open the patent, explore the choices on the left side bar. Note that cited and citing documents are listed for you here. INPADOC legal status is similar to the US file wrapper giving information about what has been happening with the

patent. Note the **abstract** below the description. You can use machine translation to translate it into other languages available in the pull down menu.

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Refine search → Results → US5611	71 (A)	
US5611171 (A)	Bibliographic	data: US5611171 (A) — 1997-03-18
Bibliographic data Description	🚖 In my patents list	t Previous 4 2/3 ▶ Next > EP Register  II Report data error
Claims Mosaics	Multiple-catch mot	use trap
Original document	Page bookmark U	JS5611171 (A) - Multiple-catch mouse trap
Citing documents	Inventor(s): H	HERSHEY MICHAEL D [US] ±
INPADOC legal status	Applicant(s): H	HERSHEY, MICHAEL D
INPADOC patent family	Classification: -	international: A01M23/02; A01M23/08; (IPC1-7): A01M23/08
Quick help –		cooperative: A01M23/02; A01M23/08
→ What does A1, A2, A3 and B	Application number: U	JS19960605847 19960226
stand for after a European publication number?	Priority number(s): U	JS19960605847 19960226
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Description			
Claims	Multiple-catch mouse trap		
Mosaics			
Original document	H 4 Page 1/1 Drawings	💌 🕨 💆 Download	
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- For a key word search, enter a term or terms describing your invention or enter an inventor's name, date, or company name. A key word search will probably give you an overwhelming number of results such as when you search using the terms "rat trap." If you decide to do a keyword search, consider different spellings such as tennis racket and tennis racquet as below.
- Search using the terms **tennis racket or tennis racquet**.

Smart search	Espacenet: free access to the database of o	ver 80 million patents
Advanced search	Smart search: i	Siemens EP 2007
Classification search	tennis racket or tennis racquet.	.::
Maintenance news	-	<u>Clear</u> Search

Open the result by Mohr, Stefan and Lau Daniel **DE102009058897** (at the bottom or may be on the next page – note the arrow at the bottom right for next page.) (DE=Germany)

			(+2)			
56. Flexible grip sleeve for ball game racquet grip, has inner surface and exterior surface, where inner surface is partly provided						
with	adhesive strip					
*	Inventor: MOHR STEFAN [AT] LAU DANIEL [AT] (+3)	Applicant: HEAD TECHNOLOGY GMBH [AT]	CPC: <u>A63B2209/10</u> <u>A63B49/08</u> <u>A63B59/0029</u> (+1)	IPC: A63B49/08	Publication info: DE102009058897 (A1) 2011-06-22	Priority date: 2009-12-18

On the left side bar look at the INPADOC patent family to see other patents related to this one and the INPADOC legal status to see what has happened with this patent.

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DE102009058897 (A1)	вынодгарн	c data: DE102009058897 (A1) — 2011-06-22	
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Description			
Claims	Elevible arin ele	eve for ball game racquet grip, has inner surface and exterior surface, where inner sur	face
Mosaics		eve for ball game <mark>racquer</mark> grip, has inner surface and exterior surface, where inner surface and exterior surface.	lace
Original document	is partif provide		
Cited documents	Page bookmark	DE102009058897 (A1) - Flexible grip sleeve for ball game racguet grip, has inner surface and exterior surface,	
Citing documents	Fage bookmark	where inner surface is partly provided with adhesive strip	
INPADOC legal status	Inventor(a)	MOHR STEFAN [AT]; LAU DANIEL [AT]; SCHWENGER RALF [DE]; KOTZE JOHAN [AT]; ROSENKRANZ HARALD	
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Quick help -	Classification:	- international: A63B49/08	
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Patent Family:

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	<u>Flexible grip s</u> adhesive strip	leeve fo	<u>r ball game</u>	<u>racquet grip, has in</u>	ner si	urface and ex	<u>terior su</u>	rface, wł	iere inner surface is par	<u>tly provided</u>
*	Inventor: MOHR STEFAI LAU DANIEL   (+3)		Applicant: HEAD TEC [AT]	CHNOLOGY GMBH	Ē	CPC: <u>\63B49/08</u> \63B59/0029 \63B59/0033	IPC: A63B49	/08	Publication info: DE102009058896 (A1) 2011-06-22	Priority date 2009-12-18
	Flexible grip s adhesive strip	leeve fo	r ball game	<u>racquet grip, has in</u>	ner si	urface and ex	terior su	rface, wi	<u>tere inner surface is par</u>	tly provided
*	Inventor: MOHR STEFAI LAU DANIEL   (+3)		Applicant: HEAD TEC [AT]	CHNOLOGY GMBH	E E	CPC: <u>\63B2209/10</u> <u>\63B49/08</u> <u>\63B59/0029</u> +1)	IPC: A63B49	/08	Publication info: DE102009058897 (A1) 2011-06-22	Priority date 2009-12-18
□ 3.	Elastic grip sl	eeve for	ball game r	acquets						
*	Inventor: MOHR STEFAI LAU DANIEL ( (+3)		Applicant: HEAD TEC [AT]	CHNOLOGY GMBH	E E	CPC: <u>A63B49/0288</u> <u>A63B49/08</u> <u>A63B59/0029</u> +1)	IPC: A63B49 B25G3/		Publication info: EP2335783 (A1) 2011-06-22	Priority date 2009-12-18
□ 4.	ELASTIC GRIP	SLEEVE	AND GRIP	CAP FOR BALL GAM	E RAC	<u>:KET</u>				
*	Inventor: MOHR STEFAI LAU DANIEL   (+3)		Applicant: HEAD TEC [AT]	CHNOLOGY GMBH	E E	CPC: <u>A63B49/0288</u> <u>A63B49/08</u> <u>A63B59/0029</u> (+1)	IPC: A63B49	/08	Publication info: US2011152017 (A1) 2011-06-23 US8500578 (B2) 2013-08-06	Priority date 2009-12-18

## Family list: DE102009058897 (A1) - 2011-06-22

## **INPADOC** Legal Status:

INPADOC legal status: DE102009058897 (A1) — 2011-06-22 ★ In my patents list × EP Register II Report data error Flexible grip sleeve for ball game racquet grip, has inner surface and exterior surface, where inner surface is partly provided with adhesive strip

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Legal status of DE102009058897 (A1) 2011-06-22:									
DE	F	102009058897 A (Patent of invention)							
	Event date :	2012/02/13							
	Event code :	R002							
	Code Expl.:	- REFUSAL DECISION IN EXAMINATION/REGISTRATION PROCEEDINGS							

Experts in patent searching use commands for more exact searching by combining terms in Smart Search. See the Espacenet brochure
 (http://documents.epo.org/projects/babylon/eponet.nsf/0/4E8744EB66E8F944C12577D600598EE

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 for a list of commands.

## Smart search field identifiers

Field identifier	Description	Example
in	Inventor	in= smith
pa	Applicant	pa=siemens
ti	Title	ti=mouse trap
ab	Abstract	ab=mouse trap
pr	Priority number	pr=ep20050104792
pn	Publication number	pn=ep1000000
ар	Application number	ap=jp19890234567
pd	Publication date	pd=20080107 or pd="07/01/2008" or
		pd=07/01/2008
ct	Cited document	ct=ep1000000
cpc	Cooperative Patent Classification	cpc=A45F3/047
ic	International classification	ic=A63B49/08
ia	Inventor or applicant	ia=Apple or ia="Ries Klaus"
ta	Title and abstract	ta=laser printer
txt	Title, abstract, inventor and applicant	txt=microscope Zeiss
num	Application, publication and priority number	num=ep1000000

## Smart search operators

Operator	Description	Example
Boolean operators		
AND, OR, NOT	The default operator is AND. Left has pre- cedence over right. No operator has prece- dence by default.	(ta=seat AND ta=belt) OR (ta=seatb
Truncation		
?	One or no wildcard characters.	telephone? (for telephone or telephones)
#	One wildcard character.	telephone# (for telephones)
*	Any number of wildcard characters.	play* (for play, plays, player, playback, etc)
Proximity operators		
prox/distance <x< td=""><td>Finds words within x words of each other.</td><td>mouse prox/distance&lt;3 trap</td></x<>	Finds words within x words of each other.	mouse prox/distance<3 trap
prox/unit=sentence	Finds words within a sentence.	mouse prox/unit=sentence trap
prox/unit=paragraph	Finds words within a paragraph.	mouse prox/unit=paragraph trap
prox/ordered	Finds words in a given order.	ia=Apple prox/ordered ia=Corp
		The system will retrieve
		documents having "Apple Corp" but
		not "Corp Apple".
Comparison operators		
=	Equal to.	pa=siemens
==	Exactly equal to (in the order shown).	ia=="Mason Henry"
all	Finds all terms (no particular order).	ti all "paint brush hair"
any	Finds any of the terms entered.	ti any "motor engine"
within	Within a date range.	pd within "2005 2006" or
		pd within "2005, 2006"
>=	Greater than or equal to,	pd >=2005 will retrieve documents
	i.e. on or after a certain date.	published in 2005 or later.
<=	Less than or equal to,	pd <=2005 will retrieve documents
	i.e. on or before a certain date.	published in 2005 or earlier.
String search		

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## ADVANCED SEARCH (for combining various search terms)

- Use the dark gray search tab and choose Advanced Search. Title search may prove fruitless **since many patents have meaningless titles.** For example, the title of the koosh ball patent is "Generally Spherical Object with Floppy Filaments to Promote Sure Capture."
- Enter the Current International Class (IPC) from a USPTO patent application you've already found with a classification search of 43/66. (Pick for example, patent # 5,502,918.) For mouse traps, A01M 23/02 (20060101) was one of the international classes listed. (Later try the others also.) On the Advanced Search Form enter just A01M 23/02 without spaces (A01M23/02) in the Cooperative Patent Classification (CPC) box. Later try the International Patent Classification (IPC) box. A01M23/02 was listed on both IPC and CPC.

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Advanced search Classification search	Select the collection you want to search in 👔	
Quick help -	Worldwide - collection of published applications from 90+ countries	×
· · · · · · · · · · · · · · · · · · ·	Enter your search terms - CTRL-ENTER expands the field you are in	
How many search terms can I enter per field?	Enter keywords in English	
How do I enter words from the title or abstract?	Title:	plastic and bicycle
+ How do I enter words from the		
description or claims?	Title or abstract:	hair
<ul> <li>How do Lenter publication, application, priority and NPL</li> </ul>		
reference numbers? → How do I enter the names of		
persons and organisations? → What is the difference between the	Enter numbers with or without country code	
IPC and the CPC?	Publication number:	WO2008014520
What formats can I use for the publication date?		.:
→ How do I enter a date range for a publication date search?	Application number:	DE19971031696
→ Can I save my query?		
Related links +	Priority number: 👔	WO1995US15925
	Enter one or more dates or date ranges	
	Publication date:	yyymmdd
	Enter name of one or more persons/organisations	
	Applicant(s):	Institut Pasteur
	Inventor(s):	Smith
	Enter one or more classification symbols	
	CPC i	
	A01M23/02	.::
	IPC I	H03M1/12
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Smart search	Res	ult list 🔊					
Advanced search	_	_					
Classification search	□ Se	lect all (0/25)	Compact 🕞 Export (CSV)	XLS) Uownio	oad covers		🔒 Print
Quick help	A01	roximately <b>571</b> resu <b>M23/02</b> as the IPC y the first <b>500</b> result		ise for:			1 •
of the result list? → What does the RSS reader do	Res	sults are sorted by o	date of upload in database				
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→ <u>What happens if I click on</u> <u>"Download covers"?</u> → <u>Why is the number of results</u> <u>sometimes only approximate?</u> → Why is the list limited to 500	*	Inventor:	Applicant:	CPC:	IPC: A01M23/02	Publication info: JP2013243963 (A) 2013-12-09	Priority date 2012-05-25
results?	□ 2.	The pomacea can	aliculata trap				
<ul> <li>→ Can I deactivate the highlighting?</li> <li>→ Why is it that certain documents are sometimes not displayed in the result list?</li> </ul>		Inventor: CHEN BI-LANG [TW]	Applicant: CHEN BI-LANG [TW]	CPC:	IPC: A01M23/02	Publication info: TWM462517 (U) 2013-10-01	Priority date 2013-04-29
→ Can I sort the result list?	□ 3.	No title available					
→ <u>What happens if I click on the</u> <u>star icon?</u> → <u>What are XP documents?</u> → <u>Can I save my query?</u>	*	Inventor:	Applicant:	CPC:	IPC: A01M23/02	Publication info: CN203313949 (U) 2013-12-04	Priority date 2013-06-26
Related links +	□ 4.	Bait station					
		Inventor: SUTEERAWANIT NICK	Applicant: SUTEERAWANIT NICK	CPC: A01M25/004 A01M29/30	IPC: A01M23/00 A01M23/02 A01M23/12	Publication info: CN103402355 (A) 2013-11-20	Priority date 2011-02-02

CLASSIFICATION SEARCH (left menu) (for less chance of missing a patent)

• European classifications are different than those of the USPTO. They have 8 broad fields (A-G) plus Y for technology. Look at the classifications at <a href="http://worldwide.espacenet.com/classification?locale=en\_EP">http://worldwide.espacenet.com/classification?locale=en\_EP</a>

## **Cooperative Patent Classification**

Search for a keyw	ord or a classification symbol Search View section Index A B C D E	FG	6   H   Y
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Symbol	Classification and description		
<b>A</b>	HUMAN NECESSITIES	s	
В	PERFORMING OPERATIONS; TRANSPORTING	s	i
C C	CHEMISTRY; METALLURGY	s	i
D	TEXTILES; PAPER	s	
E	FIXED CONSTRUCTIONS	s	
F	MECHANICAL ENGINEERING; LIGHTING; HEATING; WEAPONS; BLASTING ENGINES OR PUMPS	s	i
G	PHYSICS	s	i
H	ELECTRICITY	s	i
<b>□</b> Y	GENERAL TAGGING OF NEW TECHNOLOGICAL DEVELOPMENTS; GENERAL TAGGING OF CROSS-SECTIONAL TECHNOLOGIES SPANNING OVER SEVERAL SECTIONS OF THE IPC; TECHNICAL SUBJECTS COVERED BY FORMER USPC CROSS-REFERENCE ART COLLECTIONS [XRACs] AND DIGESTS	s	i

Click on one of the 8 letters (in the middle under symbol or at the top after index to see subclasses). Their index is basically the subclasses. Note one of the help

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questions on the left asks what the different buttons mean and includes an explanation that CPC text is highlighted in green in contrast to IPC text.

		Patent Classification	
Advanced search Classification search	Search for a keyw	ord or a classification symbol Search View section Index A B C D E	FGH
Classification search		↓ 1 GPC ■ [] 2000	AC
uick help –			AU
What is the Cooperative Patent Classification system?	Symbol	Classification and description	-
How do I enter classification symbols?		HUMAN NECESSITIES	s
What do the different buttons mean?		Agriculture	
Can I retrieve a classification using keywords?	A01	AGRICULTURE; FORESTRY; ANIMAL HUSBANDRY; HUNTING; TRAPPING; FISHING	
Can I start a new search using the classifications listed?		Foodstuffs; tobacco	
Where can I view the description of a particular CPC class?	A21	BAKING; EDIBLE DOUGHS	
What is the meaning of the stars in front of the classifications	A22	BUTCHERING; MEAT TREATMENT; PROCESSING POULTRY OR FISH	
found?			
What does the text in brackets mean?	A23	FOODS OR FOODSTUFFS; THEIR TREATMENT, NOT COVERED BY OTHER CLASSES	i
	A24	TOBACCO; CIGARS; CIGARETTES; SMOKERS' REQUISITES	
Selected classifications	·	Personal or domestic articles	
A01M23/005 /low ×	A41	WEARING APPAREL	
A01M23/04 /low ×	A42	HEADWEAR	
Clear	A43	FOOTWEAR	
Find patents	A44	HABERDASHERY; JEWELLERY	
Copy to search form	A45	HAND OR TRAVELLING ARTICLES	
	A46	BRUSHWARE	
	A40		
	L A47	FURNITURE (arrangements of seats for, or adaptations of seats to, vehicles <u>B60N</u> ); DOMESTIC ARTICLES OR APPLIANCES; COFFEE MILLS; SPICE MILLS; SUCTION CLEANERS IN GENERAL (ladders <u>E06C</u> )	i
Click on 🖻 on	the right to OR ACCESS IMPLEMENT	Health; amusement see which IPC groups are not used in the CPC. SORIES OF AGRICULTURAL MACHINES OR TS, IN GENERAL (making or covering furrows or holes for sowing,	
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A01C	IMPLEMENT planting, or ma {measuring are WARNING The follow by these g A01B 69 A01B 70 A01B 70	see which IPC groups are not used in the CPC . SORIES OF AGRICULTURAL MACHINES OR TS, IN GENERAL (making or covering furrows or holes for sowing, nuring A01C 5/00; soil working for engineering purposes E01, E02, E21 as for agricultural purposes G01B }) ving IPC groups are not used in the CPC system. Subject matter covered groups is classified in the following CPC groups: /04 covered by A01B 69/008 /06 covered by A01B 69/005 /08 covered by A01B 69/006 SOWING; FERTILISING (combined with general working of soil arts, details or accessories of agricultural machines or implements, in 51/00 to A01B 75/00; {apparatus for spreading sand or salt E01C ; sowing vith aircraft B64D 1/16 to B64D 1/20 }) IG; MOWING { (parts, details or accessories of agricultural machines of agricultura	r
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# Necessities, then Agriculture, then A01. If you don't see green text, click on the button. shows IPC groups not used in CPC.

rt search	Cooperative	Patent Classification	
nced search	Search for a keyw	ord or a classification symbol Search View section Index A B C D E	E C U
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sification system? do I enter classification bols?	Α	HUMAN NECESSITIES	s
t do the different buttons n?		Agriculture	
l retrieve a classification g keywords?	A01	AGRICULTURE; FORESTRY; ANIMAL HUSBANDRY; HUNTING; TRAPPING; FISHING	
I start a new search using lassifications listed? re can I view the description particular CPC class? t is the meaning of the stars	A01B	SOIL WORKING IN AGRICULTURE OR FORESTRY; PARTS, DETAILS, OR ACCESSORIES OF AGRICULTURAL MACHINES OR IMPLEMENTS, IN GENERAL (making or covering furrows or holes for sowing, planting, or manuring <u>A01C 5/00</u> ; soil working for engineering purposes <u>E01</u> , <u>E02</u> , <u>E21</u> ; <u>measuring areas for agricultural purposes <u>G01B</u>;</u>	SD
nt of the classifications d? t does the text in brackets n?	A01C	PLANTING; SOWING; FERTILISING (combined with general working of soil <u>A01B 49/04</u> ; parts, details or accessories of agricultural machines or implements, in general <u>A01B 51/00</u> to A01B 75/00; [apparatus for spreading sand or salt <u>E01C</u> ; sowing and fertilising with aircraft <u>B64D 1/16 to B64D 1/20</u> ])	SD
cted classifications	A01D	HARVESTING; MOWING ( [parts, details or accessories of agricultural machines or implements in general A01B 51/00 to A01B 75/00 ] )	SD i
M23/005 /low × M23/04 /low ×	A01F	PROCESSING OF HARVESTED PRODUCE; HAY OR STRAW PRESSES; DEVICES FOR STORING AGRICULTURAL OR HORTICULTURAL PRODUCE (devices for topping or skinning onions or flower bulbs A23N 15/08)	SD
Find patents Copy to search form	A01G	HORTICULTURE; CULTIVATION OF VEGETABLES, FLOWERS, RICE, FRUIT, VINES, HOPS OR SEAWEED; FORESTRY; WATERING (picking of fruits, vegetables, hops, or the like <u>A01D 46/00</u> ; plant reproduction by tissue culture techniques <u>A01H 4/00</u> ; devices for topping or skinning onions or flower bulbs <u>A23N 15/08</u> ; propagating unicellular algae <u>C12N 1/12</u> ; plant cell culture <u>C12N 5/00</u> )	SD
	A01H	NEW PLANTS OR PROCESSES FOR OBTAINING THEM; PLANT REPRODUCTION BY TISSUE CULTURE TECHNIQUES	SD
	A01J	MANUFACTURE OF DAIRY PRODUCTS (preservation, pasteurisation, sterilisation of milk products A23; for chemical matters, see subclass A23C )	SD

- Within the subclasses note the button on the right which provides a definition of the subclass plus other references.
- Compare these to the US classifications listed at <u>http://www.uspto.gov/web/patents/classification/selectnumwithtitle.htm</u>.

Note that design classes are listed last.

	Go	930	Peptide or protein sequence
	Go	968	Horology
	Go	976	Nuclear technology
		Clas	s Number and Title
	Go	977	Nanotechnology
	Go	984	Musical instruments
	Go	987	Organic compounds containing a bi, sb, as, or p atom or containing a metal atom of the 6th to 8th group of the periodic system
ł	Go	D01	Edible products
	Go	D02	Apparel and haberdashery
	Go	D03	Travel goods and personal belongings

As of Jan. 1, 2013 the USPTO is also providing access to CPC on its classification page <u>http://www.uspto.gov/web/patents/classification/index.htm</u> .

Choose USPC, enter the USPC class and subclass, choose html, and pull down to select Statistical Mapping from USPC to CPC.

	<b>D.</b> GOV	emark Office	search for patents   se Search our site	arch for trade	marks
	of the Department of Comme			BOUTUS	
Patent Classification Home					
Main CPC Sections	USPC Class Numbers & Titles	USPC Class Numbers	Classification Search Page	Contacts	Help
	Access Classification Infor Select Classification System: Enter Classification symbol: Select output format: Select Content:	C CPC C USPC 43 / 66 e.g., 482/1 or D14/314 C HTML C PDF Schedule Definitions Statistical Mapping from US USPC to IPC Concordance USPC to LOCARNO (for Definition)			

For USPC 43/66, the most relevant CPC classification is <u>A 01M 23/08</u> (eliminate spaces for searching.)

L	the set	A CONTRACTOR OF A CONTRACT		A CONTRACTOR INCOME.
	43/63	A 01M 23/32	A 01M 23/24	A 01M 21/00 more
	43/64	A 01M 21/00	A 01M 23/14	A 01M 23/08 more
Γ	43/65	A 01M 21/00	A 01K 69/08	A 01K 69/06 more
	43/66	A 01M 23/08	A 01M 23/18	A 01M 21/00 more
Γ	43/87	A 01M 23/18	A 01M 21/00	A 01M 23/04 more
	43/68	A 01M 21/00	A 01M 23/18	A 01M 23/20 more
Γ	43/69	A 01M 23/04	A 01M 21/00	A 01M 23/18 more
Γ	<u>43/70</u>	A 01M 21/00	A 01M 23/18	A 01M 23/04 more

• The CPC scheme is based on ECLA (European) scheme but has finer and newer breakdowns of subjects. Like the USPTO it's hierarchical:

A01B33/ A01B33/			
Α	01	В	33/00
Section (1 <sup>st</sup> level)	Class (2 <sup>nd</sup> level)	Subclass (3 <sup>rd</sup> level)	Main group (4 <sup>th</sup> level) or
		1	33/08 Subgroup (lower level)

Chart from https://e-courses.epo.org/wbts/cpc\_general/index.html

• Go back to the Espacenet EP search page

http://worldwide.espacenet.com/?locale=en\_EP and choose classification search. Espacenet has now combined the keyword and classification symbol search into one box. Symbol means an alphanumeric string (A01M23/00 from our previous example) not, for example, a symbol you would use for a Trademark. Enter **mouse trap** in the search box and click on the result **A01M23/00** to see subclasses.

	Smart search	Cooperative P	atent Classification		
$\rightarrow$	Advanced search Classification search	Search for mouse tra	p Search View section Index A B C D E	FG	H   Y
	Quick help –		) 1 CPC 🎛 [] 2000		A »
	What is the Cooperative Patent	Symbol	Classification and description		
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	symbols? • What do the different buttons	В	PERFORMING OPERATIONS; TRANSPORTING	s	i
	mean?	<b>C</b>	CHEMISTRY; METALLURGY	s	i
	<ul> <li>Can I retrieve a classification using keywords?</li> </ul>	D	TEXTILES; PAPER	s	
	Can I start a new search using the classifications listed?	E	FIXED CONSTRUCTIONS	s	
	Where can I view the description of a particular CPC class?	F	MECHANICAL ENGINEERING; LIGHTING; HEATING; WEAPONS; BLASTING ENGINES OR PUMPS	s	i
	What is the meaning of the stars	G	PHYSICS	s	i
	in front of the classifications found?	Н	ELECTRICITY	s	i
	What does the text in brackets mean?  Selected classifications	Y	GENERAL TAGGING OF NEW TECHNOLOGICAL DEVELOPMENTS; GENERAL TAGGING OF CROSS-SECTIONAL TECHNOLOGIES SPANNING OVER SEVERAL SECTIONS OF THE IPC; TECHNICAL SUBJECTS COVERED BY FORMER USPC CROSS-REFERENCE ART COLLECTIONS [XRACs] AND DIGESTS	s	i

Smart search	Cooperative Patent Classification
Advanced search Classification search	Search for mouse trap Search View section Index A B C D E F G H Y
Quick help —	
What is the Cooperative Patent	Symbol Classification and description
Classification system? How do I enter classification	▼ ★★★★ A01M 23/00 Traps for animals
symbols? ▶What do the different buttons	▼ ★★★★★ A01M 31/00 Hunting appliances
mean? ▶ Can I retrieve a classification	A01M 27/00 Apparatus having projectiles or killing implements projected to kill the animal, e.g. pierce or shoot, and triggered thereby
using keywords? Can I start a new search using the classifications listed?	A01M 25/00 Devices for dispensing poison for animals (A01M 27/00 takes precedence; for insects A01M 1/20)

If you already know the classification, enter it (A01M23/00), remembering not to leave spaces between letters and numbers. Check the classifications you want and from the left box either select **Copy to your search form to** paste the classification into the search form under CPC or select **Find patents** to retrieve patents with that classification.

Smart search	Cooperative Patent Classification	
Advanced search	Search for A01M23/00 Search View section Index A B C	
Classification search		5   2   1   0   1   1
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Quick help –		21/00 /101/125/00 //
→ What is the Cooperative Patent Classification system?	Symbol Classification and description	
→ How do I enter classification	A HUMAN NECESSITIES	s
symbols? → What do the different buttons mean?	Agriculture	
→ Can I retrieve a classification using keywords?	A01 AGRICULTURE; FORE STRY; ANIMAL HUSBANDRY; HUNTING; TRAPPING; FISHING	
→ Can I start a new search using the classifications listed?	A01M CATCHING OR TRAPPING OF ANIMALS (apiculture A01K 47/00 to A01K 59/00; fishing	SD i
→ Where can I view the description	A01K 69/00 to A01K 97/00; pesticides A01N ); APPARATUS FOR THE DESTRUCTION OF NOXIOUS ANIMALS OR NOXIOUS PLANTS (equipment fitted in or to aircraft for dropping o	
of a particular CPC class? → What is the meaning of the stars	releasing powdered, liquid or gaseous matter, e.g. pesticides, herbicides, <u>B64D 1/16</u> )	
in front of the classifications		
found? → What does the text in brackets	A01M 23/00 Traps for animals	
mean?	A01M 23/005 • {with sticky surfaces } ({for insects A01M 1/14})	
	A01M 23/02 • Collecting-traps	
Selected classifications	✓ A01M 23/04 •• with tipping platforms	
A01M23/005 /low ×	A01M 23/06 ••• with locking mechanism for the tipping platform	
A01M23/04 /low ×	A01M 23/08 •• with approaches permitting entry only	
Clear	A01M 23/10 •• with rotating cylinders or turnstiles	
Find patents	•• with devices for throwing the animal to a collecting chamber	
Copy to search form	A01M 23/14 •• Other traps automatically reset	
	A01M 23/16 • Box traps	
	A01M 23/18 •• with pivoted closure flaps	
	A01M 23/20 •• with dropping doors or slides	
	A01M 23/22 •• with dropping covers	
	A01M 23/24 • [Spring traps, e.g.] jaw or like spring traps	
	• {Auxiliary devices for spring traps, e.g. attaching systems}	

## GOOGLE PATENTS PRIOR ART SEARCH

- Enter your search terms on the Google Advanced Patent Search page (<u>http://www.google.com/advanced\_patent\_search</u>) (See next page)
- For example, here is a CPC classification search using A01M23/00.

Google	Advanced Patent Search	About Goo
Find results	with all of the words	10 results T Google Search
Patent number	Return patents with the patent number	
Title	Return patents with the patent title	
Inventor	Return patents with the inventor name	First name, last name, or both
Original Assignee	Return patents with the original assignee name	First name, last name, or both
Current U.S. Classification	Return patents with the current U.S. classification	Comma separated list of one or more classification codes.
International Classification	Return patents with the international classification	Comma separated list of one or more classification codes.
Cooperative Classification	Return patents with the cooperative classification	A01M23/00 Comma separated list of one or more classification codes.
Patent type/status	Return patents with type/status	Any type/status
Date	Return patents anytime     C Return patents between     e.g. 1999 and 2000, or Jan 1999 and Dec 2000	

• Click on a result, for example this EP (European) application:

## Trap for rats, mice and other vermin



www.google.com/patents/EP0054590A1?cl=en App. - Filed Dec 19, 1980 - Published Jun 30, 1982 - Benjamin H. Baker - J.T. EATON & COMPANY, INC. A non-toxic adhesive trap (10) for mice and insects using a pressure sensitive adhesive (30) which is insensitive to normal heat variations in a room and will not ... Overview - Related - Discuss

• Note the "Find prior art" blue tab on the top of the result screen.



• The prior art results may include patents, journal articles, books, trade publications, YouTube videos, etc. Note that the Prior Art Finder bar on the top allows you to search by Top 10, Google Scholar (journal articles), Patents, the Web (catalogs, YouTube, etc.), Books, and People (information about the inventor). Most scholarly journal articles will need to be read in a library that has a subscription to that journal or database. For this particular search the top returns are journal items and a Google patent.

Prior Art Finder	Top 10 Scholar Patents Web Books People	
Search Terms	THE DISTRIBUTION OF 51CR-LABELED LYMPHOCYTES INTO ANTIGEN-	Application EP0054590A1
Add your own	STIMULATED MICE LYMPHOCYTE TRAPPING MM Zatz, EM Lance - The Journal of experimental medicine, 1971	Trap for rats, mice and
✓ trap	<ul> <li> trapped cells? (a) Specificity of TrapThe available The fact that lymphocyte</li> </ul>	other vermin
🗸 rats	x trapping can be elicited at lower antigen doses in presensitized recipients than in non-	194 St.
✓ mice	sensitized recipients argues for at least partial specificity, since presensitization with an antigen different from the	Show Claims
vermin	x	
trap for rats	* Techniques for <b>trapping</b> and tracking stoats (Mustela erminea); a review, and	And the second s
mice and other vermin	× <u>a new system</u>	Inventors: Stanley Z. Baker, Benjamin H.
central indentation	× CM King, RL Edgar - New Zealand journal of zoology, 1977	Baker
tacky layer	the inspection round, and (b) to provide adequate food and bedding for the trapped stoat, so extent that one individual, when released, would run ahead and reach the next trap	Assignees: J.T. EATON & amp; COMPANY,
self-adhesion	so extent that one individual, when released, would run ahead and reach the next trap before the There seems no reason to doubt that the Mk III traps would have been entirely	INC. Publication number: EP0054590A1
will trap	× successful	Filing date: Dec 19, 1980
Custom Date Range	Agonistic behavior of mice and rats: A review	Discuss this Patent Application
0	ID Seeth American Zeelenist 1000	Discuss this Patent Application
IVIIVI/DD/111	by a nestmate early in life" and that "rats that have had no early experience of pain inflicted	
End date: 12/19/1980	by an- other rat should be AGONISTIC BEHAVIOR OF MICE AND RATS Jn all these	
	cases, the territory is quite small compared to the home range as established by trapping of wild mice	
	wid finde	
	Trapping with 3, 4-dichlorobenzenethiol of reactive metabolites formed in	
	vitro from the carcinogen vinyl chloride	
	R Göthe, CJ Calleman, L Ehrenberg, CA Wachtmeister - Ambio, 1974	
	A trap preferably for mice rats and mink	
	A trap, preretably for mice, rats and mink www.google.com/patents/WO1979000879A1?cl=en	
	image App Filed Apr 3, 1979 - Published Nov 1, 1979 - Rydberg S - Rydberg S	
	A trap, preferably for mice, rats, voles and mink. It consists of two substantially	

• Here's another example, this time using US class/subclass 43/66 and limiting by date (You'd want to search all dates though and remember to clear your last search.):

Google	Advanced Patent Search	About Google
nd results	with all of the words with the exact phrase with at least one of the words without the words	10 results SGoogle Search
atent number	Return patents with the patent number	
tle	Return patents with the patent title	
ventor	Return patents with the inventor name	First name, last name, or both
riginal Assignee	Return patents with the original assignee name	First name, last name, or both
urrent U.S. lassification	Return patents with the current U.S. classification	43/66 Comma separated list of one or more classification codes.
ternational lassification	Return patents with the international classification	Comma separated list of one of more classification codes.
atent type/status	Return patents with type/status	Any type/status
	C Return patents anytime Return patents between Jan 2010 and Jul e.g. 1999 and 2000, or Jan 1999 and Dec 2000	- 2012



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Notice we have the journal from several vendors but the dates of coverage are not the same for each vendor.



## Please note: Google provides a good supplemental tool especially as a starting place for finding prior art, but to find foreign patents online, use Espacenet.

## **INTERNATIONAL GUIDES:**

• "Applying for a Patent" <u>http://www.epo.org/applying.html</u>

#### Applying for a patent



to: "How to Apply for a European Patent" - <u>http://www.epo.org/applying/basics.html</u>

#### How to apply for a European patent

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#### A step-by-step guide to the grant procedure

This guide provides basic information about the steps involved in the European patent granting procedure. Before applying for a patent, you should make sure that this is the best option for your invention.

You can also follow our e-learning guide "How to get a European patent".

## 1 Before applying for a European patent

First, it is important to know what inventions and patents are.

An invention can be, for example, a product, a process or an apparatus. To be patentable, it must be new, industrially applicable and involve an inventive step.

Patents are valid in individual countries for specified periods. They are generally granted by a national patent office, or a regional one like the EPO. Patents confer the right to prevent third parties from making, using or selling the invention without their owners' consent.

- L European patents and the grant procedure
- → The seven deadly sins of the inventor
- → European Patent Convention
- → Online services
- → Espacenet (patent search)
- → Patent information
- → Glossary

• See also "Find a professional representative" <u>http://www.epo.org/applying/online-services/representatives.html</u> for those who are not a resident or have their principal place of business in a contracting state to the EPC (European Patent Convention). As an example, select United Kingdom and Cambridge to see lawyers available there.

Improving online services	Find a professional representative	Print 📄 Share <
Find a professional representative	Natural or legal persons having either their residence or their principal place of business in a	See also
Help	contracting state to the EPC can undertake all procedural steps before the EPO themselves and are	→ <u>Consultation with users via</u> lpsos MORI
Entry on the list	not obliged to appoint a representative. Other applicants are, however, required to be represented by a professional representative (Article 134(1) EPC) or a legal practitioner (Article 134(8) EPC) (except	
Registration / deletion of association	when filing an application or paying fees). An association of professional representatives can also be authorised according to <u>Rule 152(11) EPC</u> . Forms for requesting the registration or deletion of an	
Changes to association	association, or changes to a registered association, can be downloaded from the links below	
Online filing	Even if you are not obliged to appoint a professional representative, it may still be helpful to consult	
My Files	one. To make it easier to find what you need, the EPO maintains an up-to-date (updated twice a month) database of professional representatives. All you have to do is enter a search term or terms in one or	
Online fee payment	more of the search fields and click the "Search" button.	
Mailbox	This will generate a list of professional representatives who match your query. Click on a name to find	
Administration	details of the representative's full name, address, e-mail and website (where available). Click on the city or the country to view a list of all the professional representatives in that location.	
Calendar of oral proceedings		
Release schedule	The database is also accessible on the <u>ESPACE LEGAL</u> disc (updated twice a year), which offers more search options.	
Security		
	To find out which professional representatives have passed the European qualifying examination you can consult the list of <u>successful candidates</u> . Below is a form for requesting entry on the list of professional representatives:	
	→ <u>Help</u>	
	→ <u>Request for entry on the list of professional representatives</u>	
	→ Request for registration/deletion of an association of professional representatives	
	→ <u>Request for changes relating to an association of professional representatives</u>	
	Name (e.g. "Müller") Country United Kingdom City (e.g. "München") Cambridge	
	Search	

#### Search Results: 69

Name 🔸	City	Country
Abraham, Richard	ST IVES, CAMBRIDGE	GB
Aston, Heidi Frances	CAMBRIDGE	GB
Baker-Munton, Nicola Jane	CAMBRIDGE	GB
Barnes, Colin Lloyd	CAMBRIDGE	GB
Bates, Alan Douglas Henry	CAMBRIDGE	GB
Bates, Rosica Florence	CAMBRIDGE	GB
Berkson, Michael David	CAMBRIDGE	GB
Bill, Kevin	CAMBRIDGE	GB
Bradley, Daryl Wayne	CAMBRIDGE	GB
Branscombe, Adam Douglas	CAMBRIDGE	GB

#### 1 <u>2 3 4 5 6 7 Next</u>

- European Patent Register <u>http://www.epo.org/register</u>. Shows what transactions are happening to a European patent application as it passes through the grant procedure.
- Enter the terms **mouse and rat trap** in the smart search box. Open a result to see the transactions.

Search term(s) i	e.g. hai
mouse and rat trap	
	Clear Search

#### WELCOME

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Welcome to the European Patent Register, the place to find procedural and legal status data on patent applications handled by the European Patent Office.

If this is the first time you are consulting the EP Register, please have a look at the introductory video below.

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On 08.04.2014 at 10.00-11.00 am we will introduce you to the European Patent Register by showing you its newest features to optimize your research. Register and find out more about our free webinars/virtual classes here.

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Since January 2014 you can easily access the legal status and procedural data of European patents validated in **Turkey** and **Italy**. Just one click from the "legal status" section of the European Patent Register, and you are there. That brings the number of countries that support deep linking to **27** (Austria, Belgium, Croatia, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Lithuania, Luxembourg, Netherlands, Norway, Poland, Portugal, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom).

The EPO is working to get even more national registers deep-linked in this way, so watch this space!

#### THANK YOU! EUROPEAN PATENT REGISTER AND REGISTER ALERT SURVEY

Thank you for having taken part in this online survey. Your answers are hugely valuable and will help us continue to improve the services of the European Patent Register and Register Alert applications and tailor them to your requirements and needs.

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	Type :	A3 Search report		
	No. :	EP0280504		
	Date :	28.03.1990		
	Language :	EN		
	[1990/13]			
	Type :	A B1 Patent specification		
	No. :	EP0280504		
	Date :	25.10.1995		
	Language :	EN		
	[1995/43]			
Classification	International :	A01M23/30	[1988/35]	
Designated contracting state	BS DE, ES, FR,	GB, IT, NL [1988/35]		
Title	German :	Maus- und Rattenfalle	[1988/35]	
	English :	Mouse and rat trap	[1988/35]	
	French :	Piège pour souris et <mark>rat</mark> s	[1988/35]	
Examination procedure	18.09.1990	Examination requested [1990/46]		
	31.01.1991	Despatch of a communication from the examining division (Time limit: M04)		
	29.04.1991	Reply to a communication from the examining division		
	20.06.1991	Despatch of a communication from the examining division (Time limit: M06)		
	23.10.1991	Reply to a communication from the examining division		
	22.11.1991	Despatch of a communication from the examining division (Time limit: M08)		
	27.07.1992	Reply to a communication from the examining division		
	29.10.1992	Despatch of a communication from the examining division (Time limit: M06)		

For more information see: <u>http://www.epo.org/searching/free/register.html</u> especially the introductory guide <u>http://documents.epo.org/projects/babylon/eponet.nsf/0/7FD7F8E376B92450C12</u> <u>5787900496AFC/\$File/european\_patent\_register\_introductory\_guide\_en.pdf</u>

and the regis89try's new interactive online training <u>www.epo.org/register-assistant</u>

 European Patent Office's Online Training <a href="http://www.epo.org/learning-events/e-learning.html">http://www.epo.org/learning-events/e-learning.html</a>, a virtual classroom for self-paced modules or online courses. On the EPO 74home page there is also a link to Cooperative Patent Classification: Intro: <a href="https://www.youtube.com/watch?v=POxlp0PQF20">https://www.youtube.com/watch?v=POxlp0PQF20</a>

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19.3.2014 | Virtual classroom, online Searching Bulletin using Patent information services for experts (PISE)

20.3.2014 | Virtual classroom, online Searching Global Patent Index (GPI) using services for experts (PISE)

26.3.2014 | Virtual classroom, online Patent families

## **INTERNATIONAL FILING**

• Treaties and Foreign Patents - p.30 in General Information Concerning Patents print booklet or online at

http://www.uspto.gov/patents/resources/general\_info\_concerning\_patents.jsp#hea ding-32

#### **Treaties and Foreign Patents**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in other countries must apply for a patent in each of the other countries or in regional patent offices. Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country, in accordance with the requirements of that country.

The laws of many countries differ in various respects from the patent law of the United States. In most foreign countries, publication of the invention before the date of the application will bar the right to a patent. Most foreign countries require that the patented invention must be manufactured in that country after a certain period, usually three years. If there is no manufacture within this period, the patent may be void in some countries, although in most countries the patent may be subject to the grant of compulsory licenses to any person who may apply for a license.

There is a treaty relating to patents which is adhered to by 169 countries, including the United States, and is known as the Paris Convention for the Protection of Industrial Property. It provides that each country guarantees to the citizens of the other countries the same rights in patent and trademark matters that it gives to its own citizens. The treaty also provides for the right of priority in the case of patents, trademarks and industrial designs (design patents). This right means that, on the basis of a regular first application filed in one of the member countries, the applicant may, within a certain period of time, apply for protection in all the other member countries. These later applications will then be regarded as if they had been filed on the same day as the first application. Thus, these later applicants will have priority over applications for the same invention that may have been filed during the same period of time by other persons. Moreover, these later applications, being based on the first application of the invention, the sale of copies of the design, or use of the trademark. The period of time mentioned above, within which the subsequent applications may be filed in the other countries, is 12 months in the case of first applications for patent and six months in the case of industrial designs and trademarks.

Another treaty, known as the Patent Cooperation Treaty, was negotiated at a diplomatic conference in Washington, D.C., in June of 1970. The treaty came into force on January 24, 1978, and is presently (as of March 29, 2005) adhered to by over 126 countries, including the United States. The treaty facilitates the filing of applications for patent on the same invention in member countries by providing, among other things, for centralized filing procedures and a standardized application format.

The timely filing of an international application affords applicants an international filing date in each country which is designated in the international application and provides (1) a search of the invention and (2) a later time period within which the national applications for patent must be filed. A number of patent attorneys specialize in obtaining patents in foreign countries.

Under U.S. law it is necessary, in the case of inventions made in the United States, to obtain a license from the Director of the USPTO before applying for a patent in a foreign country. Such a license is required if the foreign application is to be filed before an application is filed in the United States or before the expiration of six months from the filing of an application in the United States unless a filing receipt with a license grant issued earlier. The filing of an application for a U.S. patent constitutes the request for a license and the granting or denial of such request is indicated in the filing receipt mailed to each applicant. After six months from the U.S. filing, a license is not required unless the invention has been ordered to be kept secret. If the invention has been ordered to be kept secret, the consent to the filing abroad must be obtained from the Director of the USPTO during the period the order of secrecy is in effect.

#### Foreign Applicants for U.S. Patents

The patent laws of the United States make no discrimination with respect to the citizenship of the inventor. Any inventor, regardless of his/her citizenship, may apply for a patent on the same basis as a U.S. citizen. There are, however, a number of particular points of special interest to applicants located in foreign countries.

The application for patent in the United States must be made by the inventor and the inventor must sign the oath or declaration (with certain exceptions), differing from the law in many countries where the signature of the inventor and an oath of inventorship are not necessary. If the inventor is dead, the application may be made by his/her executor or administrator, or equivalent, and in the case of mental disability it may be made by his/her legal representative

- Patent It Yourself (14<sup>th</sup> ed.) (David Pressman) Ch. 12 Going Abroad p.318-331
- International Protection http://www.uspto.gov/patents/int\_protect/index.jsp

Patent Search       International Protection         Patent Process       Providing information on patent programs and agreements delicated to improving work-sharing and international coperation among various work/wide intellectual Property Offices         Patent Classification       Platent Coperation among various work/wide intellectual Property Offices         Patent Search       Platent Coperation Treatry - International patent application filing system         Patent Search       Platent Coperation Treatry - International Patent application filing system         Patent Search       Platent Prosecution Treatry - International Registration of Industrial Designs – A system for international registration of industrial designs         Statistics       Platent Prosecution Highway - Fast track examination of corresponding applications filed in USPTO and various Proceedures         Resources and Guidance       Office of Data Management         Announcements       - Common Clastion Document - A patent information product to provide single point access to up-to-date clastion offices for the family members of a patent application, thus enabling the search results for the same invention produced by several offices to be visualized on a single page.         International Protection       • New Route - Work-sharing pilot between the USPTO and USPTO         • Patent Cooperation Treaty       • Start Prosection Highway         • Patent Prosecution Highway       • Start Sharing pilot between the USPTO and USPTO         • Common Application Format       • StiARE </th <th>Home Page » PATENTS » International Protection</th> <th>on</th>	Home Page » PATENTS » International Protection	on
Patent Classification       experiation among various worldwide Intellectual Property Offices.         Patent Classification       e Patent Cooperation Treaty - International patent application filing system         Patent Forms       e Haque Agreement Concerning the International Registration of Industrial Designs – A system for international registration of industrial designs         Statistics       e         Electronic Business Center       e Patent Prosecution Highway - Fast track examination of corresponding applications filed in USPTO and various Intellectual Property Offices around the world         Patent Laws, Regulations, Policies & Procedures       e Common Application Format - Simplified and streamlined patent application filing requirements for EPO, JPO at USPTO         Office of Data Management       e Common Citation Document - A patent information product to provide single point access to up-to-date citation data relating to the patent applications of the IPS Offices. It consolidates the prior at cited by all participating offices for the family members of a patent application. Single page.         Initiatives & Events       e Common Citation Document - A patent information product to provide single point access to up-to-date citation data relating to the patent applications of the IPS Offices. It consolidates the prior at cited by all participating offices for the family members of a patent application.         Initiatives & Events       e New Route - Work-sharing pilot between the USPTO and JPO         Patent Prosecution Format       e SHARE - Work-sharing pilot between the USPTO and KIPO         Other Intellectual Pro	Patent Search	International Protection
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Resources and Guidance     USPTO       Office of Data Management <ul> <li>Announcements</li> <li>Initiatives &amp; Events</li> </ul> <ul> <li>Common Citation Document</li> <li>A patent information product to provide single point access to up-to-date citation data relating to the patent applications of the IP5 Offices. It consolidates the prior at cited by all participating offices for the family members of a patent application, thus enabling the search results for the same invention produced by several offices to be visualized on a single page.           International Protection              <ul> <li>New Route</li> <li>New Route</li> <li>Scommon Application Format</li> <li>SHARE</li> <li>SHARE</li> </ul> <ul> <li>Mathematical Property Offices</li> <li>SHARE</li> <li>Other Intellectual Property Offices</li> </ul> <ul> <li>Mathematical Property Offices</li> <li>SHARE</li> <li>Other Intellectual Property Offices</li> </ul> <ul> <li>Share</li> <li>Other Intellectual Property Offices</li> <li>Share</li> </ul></li></ul>		a Courses Application Former: Finalities and structure application films are immediated for FDO 100 at
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Patent Cooperation Treaty     Patent Cooperation Treaty     Patent Prosecution Highway     Global Dossier     Common Application Format     New Route     Triway     SHARE     Other Intellectual Property Offices	Initiatives & Events	produced by several offices to be visualized on a single page.
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Global Dossier     Common Application Format     New Route     Triway     SHARE     Other Intellectual Property Offices	Patent Cooperation Treaty	
New Route     SHARE - Work-sharing pilot between the USPTO and KIPO     Triway     Other Intellectual Property Offices     SHARE		<u>Triway</u> - Search sharing pilot between EPO, JPO, and USPTO
New Route     Triway     Other Intellectual Property Offices     SHARE	Common Application Format	SHARE - Work-sharing pilot between the USPTO and KIPO
SHARE     Other Intellectual Property Offices	New Route	
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• Filing a New International Application Under the Patent Cooperation Treaty (PCT)

 $\underline{http://www.uspto.gov/patents/process/file/efs/guidance/indexing-pct-new-appl.pdf}$ 



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